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RUSSIAN VERBAL INFLECTION (PRELIMINARY NOTES)

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1. INTRODUCTORY REMARKS

Brown (1998): Russian verbs may have up to four stem allomorphs, each of which may be used only in specific environments. Some are more specified than others. Possible reason: it is a much simpler way if you want a parsing algorithm.

Jakobson (1948), Halle (1963), Lightner (1972), Halle and Matushansky (in prep.): Russian stem allomorphs are phonologically determined. There are lists, but only for Readjustment rules.

		singular-M(F/N)	plural	
present	1	čitAj-u	čitAj-em	
-	2	čitAj-eš	čitAj-ete	
	3	čitAj-et	čitAj-ut	
past		čitA-l(a/o)	čitA-l-i	
imperative	exclusive	čitAj	čitAj-te	
	inclusive	čitAj-em	čitAj-em-te	
gerund	present	čitAj-a		
	past ²	-čitA-v ³		
participle	passive past	čIta-nn-aja		
	passive present	čitA-em-aja		
	active past	čitA-vš-aja		
	active present	čitAj-ušč-aja		
root		čit- (cf. <i>čitka</i> 'a reading')		

Table 1: surface forms, first conjugation, regular (-aj-): čitat' 'to read'

Jakobson (1948): -*aj*- is part of the stem (no discussion), -j- disappears before consonants Note one: In fact, Jakobson (1948, p. 159) suggests that both glides and nasals are deleted before consonants. As argued in Kayne (1967), only glides are deleted; the VN sequence before a consonant creates a nasal vowel, which ultimately surfaces as [a] or [u].

Brown (1998): the stem ends in -ā-, the glide -j- is inserted in intervocalic positions (in derived environments only)

We: the suffix is $-\bar{a}j$ -, -j- disappears before consonants

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¹ The form of the inclusive imperative with the singular addressee is identical to the 1^{st} person plural and is widely used. The inclusive imperative with a plural or honorific addressee is much more marginal, but its derivation is fully regular: the suffix *-te* of the exclusive plural imperative is added. In view of such regularity, we will not discuss it in the future.

 $^{^{2}}$ The past tense gerund is only possible with perfective verbs and is going out of use in its old form (from which the active past participle is derived), while simultaneously acquiring a new form (Garde 1998, pp.323-326). We will not be discussing it here, nor the active past participle, originally derived from it.

³ A form preceded by a hyphen means that a perfectivizing prefix is required to create this form with this root.

The major difference between our approach and Brown's: we think there is a **phonological** and (partially) **predictable** connection between stem allomorphs. They are derived from each other. NB: *Partially* means there are exceptions: some stems are not subject to some phonological rules. More on this later.

The gist of the proposal (Halle 1963, Lightner 1972): The two conjugations have different tense marking: - \check{e} - (1st conjugation) and - \bar{i} - (2rd conjugation). There are also several **thematic suffixes** (including Ø) appearing between the verbal stem and inflection (cf. Halle 1994 for the nominal declension and Halle and Matushansky (in prep.) for the adjectival declension).

NB: That the 1st conjugation suffix is - \check{e} - and not - \check{e} - is evidenced by the fact that it alternates with - \check{o} - under stress before a [+ back] consonant (\check{e} -formation, attested elsewhere). That the 2nd conjugation suffix is - \bar{i} - is shown by iotated grade in the 1g (see below).

The rest is phonology.

2. VOWEL DELETION

Consider the morphological structure of a Russian finite verb:

		singular-M(F/N)	plural	
present	1	nes-U	nes'-Om	
	2	nes'-Oš	nes'-Ote	
	3	nes'-Ot	nes-Ut	
past		nes-(lA/lO)	nes-l-I	
imperative	exclusive	nes'-I	nes'-I-te	
gerund		nes'-A		
participle	passive past	-nes'-On-aja		
	passive present	nes-Om-aja		
	active past	n'Os-š-aja		
	active present	nes-Ušč-aja		
root		nes- (cf. nes-un 'a person wh	no steals from their workplace')	

Table 2: surface	forms, first	conjugation.	zero theme:	nestl 'to carry'
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NB: If [l] of the Past masculine singular is preceded by a dental (d, t), the dental disappears, if it is preceded by any other consonant, [l] disappears. It should also be observed that before the infinitive marker, labials and dentals are replaced by [s] and the sequence *velar* + *the infinitive marker* turns to [č] (see Garde 1998, p. 321).

NB: The infinitive (-t rather than t) and imperative (-I) are slightly different here. The variation is due to stress and syllable structure.

The comparison between Table 1 and Table 2 shows that **the present tense suffix** is $/\check{e}/$ (That $/\check{e}/$ surfaces as [o] under stress is due to an independently motivated rule of \ddot{e} -formation).

Observation 1: in Table 2, the root (V) is the same throughout (palatalization aside), and inflection is morphologically transparent:

(1) a. nes-e-t 'carry-3sg' $V-Tns-\phi$

b. nes-l-a 'carried-Fsg' V-Tns-φ

This transparent morphological structure is not immediately detectable in 1sg and 3pl, i.e. **before vowels**. Jakobson proposed that a vowel disappears before another vowel:

(i) $V \rightarrow \emptyset / V$ $\downarrow X \qquad X$

SHORT-VOWEL CLUSTER RESOLUTION

Question: Why are 1sg and 3pl in Table 2 not palatalized? Answer: Because the vowel deletion rule precedes the palatalization rule:

(2) a. nes- \check{e} -t \rightarrow_{VDel} nes- \check{e} -t \rightarrow_{PAL} nes'-et $\rightarrow_{\check{E}}$ nes'-ot b. nes- \check{e} -u \rightarrow_{VDel} nes-u \rightarrow_{PAL} nes-u

We can now easily deal with **the stems ending in** -*nu*- (the unproductive inchoative type and the productive semelfactive one).

Semelfactive verb stems end in -nu- (dialectal allomorph -anu-), where -u- may be part of the aspectual suffix (in which case the thematic suffix is null) or a thematic suffix conditioned by -n-. Whatever analysis we choose may be extended to the unproductive inchoative -nu- (about 40 verbs), which has a different stress pattern and different behavior in the past tense.

		singular-M(F/N)	plural	
present	1	doxn-U	doxn'-Om	
	2	doxn'-Oš	doxn'-Ote	
	3	doxn'-Ot	doxn-Ut	
past		doxnU-l(a/o)	doxnU-l-i	
imperative	exclusive	doxn'-I	doxn'-I-te	
gerund	past	doxnU-v		
participle	passive past	-doxnu-t-aja		
	active past	doxnU-vš-aja		
root	•	dox- (cf. <i>vzdox</i> 'a sigh')		

Table 3: surface forms, first conjugation, semelfactive -nu-: doxnUt' 'to exhale'

NB: There are also 4 imperfective and some non-semelfactive verbs with the same behavior (Garde 1998:368)

 Table 4: surface forms, first conjugation, inchoative -nu-: doxnut' 'to croak'

		singular-M(F/N)	plural
present	1	dOxn'-u	doxn'-em
	2	doxn'-eš	doxn'-ete
	3	doxn'-et	doxn'-ut
past		dox-l(a/o)	dox-1-i
imperative	exclusive	doxn-i	doxn-i-te
gerund	past	-dOxnu-v	
	active past	-dox-š-aja	
	active present	dOxn-ušč-aja	
root		dox- (cf. the previous case)	

The suffix -*nu*- disappears in the past finite and non-finite forms (before a consonant): optionally for some verbs, obligatorily for others and never for the rest. With two verbs -*nu*- may disappear in the infinitive (Garde 1998:369).

3. GLIDE DELETION

Some verbal stems take the $-\bar{a}j$ - thematic suffix. If the thematic suffix in Table 1 is $-\bar{a}j$ -, there is no problem: the past tense marker -l- triggers the deletion of -j- before it.

(ii) GLIDE DELETION x ŧ i X | C

What about present tense, the gerund, the imperative and the present active participle?

		singular-M(F/N)	plural
present	1	čit-Aj-ĕ-u	čit-Aj-ĕ-m ĭ
	2	čit-Aj-ĕ-š ĭ	čit-Aj-ĕ-te
	3	čit-Aj-ĕ-tĭ	čit-Aj-ĕ-ut ĭ

Table 1a: present, underlying forms, first conjugation, regular: *čitat*' 'to read'

A vowel is deleted before a vowel, so the tense suffix will only be detectable before suffixes that begin with a consonant.

Table 1b: present, final forms, first conjugation, regular: <i>čitat</i> 'to re	Table 1b: r	present. fina	l forms. †	first conius	gation, reg	ular: <i>čitat</i>	' 'to read
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		singular-M(F/N)	plural
present	1	čit-Aj-u	čit-Aj-e-m
	2	čit-Aj-e-š	čit-Aj-e-te
	3	čit-Aj-e-t	čit-Aj-ut

Independent evidence for glide-deletion: stems ending in glides with a null thematic suffix. The glide disappears in exactly the right cells of the paradigm (Zaliznjak's classes 11, 12 and 16):

- 11: stems in -ij- surfacing as -i- (before consonants) or -j- (before vowels): bit' 'to
- beat', vit' 'to weave', lit' 'to pour', pit' 'to drink', šit' 'to sew' 12: stems in -ej- (productive): gret' 'to heat', smet' 'to dare', umet' 'to know how'..., in -uj-: obut' 'to shoe', dut' 'to blow'; in -ij-: počit' 'to decease', gnit' 'to rot'; in -ij-alternating with -oj-: vit' 'to howl', mit' 'to wash', nit' 'to complain', rit' 'to dig', \geq krit' 'to cover'; in -ij- alternating with -ej-: brit' 'to shave'; in -ej- alternating with oj-: *pet* ' 'to sing';
- 16: stems in -w- surfacing only before vowels: žit' /žiw-t'/ 'to live', plit' /pliw-t'/ 'to \triangleright swim', and *slit'/sliw-t'/* 'to be known as'

Table 5: surface forms, first conjugation, zero theme: *plH* 'to swim'

		singular-M(F/N)	plural	
present	1	pl I v-U	pliv'-Om	
	2	pl i v'-Oš	pliv'-Ote	
	3	plɨv'-Ot	pl i v-Ut	
past		pl+l-(A/o)	pl I -l-i	
imperative	exclusive	pl i v'-I	pliv'-I-te	
gerund		pliv'-A		
participle	passive past	-pl I -t-aja		
	active past	pl I -vš-aja		
	active present	pliv-Ušč-aja		
root		pl+v- (cf. pl+v-un 'quick groun	d')	

The root ending in what is underlyingly a glide (the $w \rightarrow v$ change is independently motivated by history and by the behavior of [v] in consonant clusters) loses this glide before a consonant.

A similar example can be given for stems ending in [j]. The form of the glide is *not* determined by the rest of the stem ([j] allowed with all stem vowels except [o] (with some idiosyncrasies), [w] appears in three verbs in this conjugation subclass, which happen to have a high vowel in the stem)

		singular-M(F/N)	plural	
present	1	gnij-U	gnij-Om	
	2	gnij-Oš	gnij-Ote	
	3	gnij-Ot	gnij-Ut	
past		gnIl-(A/o)	gnI-l-i	
gerund		gnij-A		
	active past	gnI-vš-aja		
	active present	gnij-Ušč-aja		
root		gnij- (cf. <i>gnOj</i> 'pus')		

Table 6: surface forms, first conjugation, zero theme: gnlt' 'to rot' (unaccusative)

These verbs cannot be explained by a glide-insertion hypothesis: which glide to insert?

Additional evidence: the choice of the participial suffix: -t- (vs. -*n*- or - $\check{e}n$ -) is used with (a) stems ending in sonorants and (b) stems ending in a [+ round] vowel (cf. Garde 1998).

Further independent support for glide-deletion: adjectival declension (Halle and Matushansky 2003): the -j- of the long-form affix *-oj-* disappears before a consonant.

Possible objection: perhaps it's glide-insertion before a vowel!

Independent evidence for -j-insertion: deverbal nouns in *-ie* (*reš-en-i-e* [rešEnije]), where *-e* is the Case ending, and proper names like *Maria* [MarI-a]). The simplest solution for these cases does seem to be -j-insertion rather than a stem glide. But here -j- appears word-finally, where no audible vowel is present.

Counter-objection: The glide-deletion proposal explains more facts (below), and vowel clusters are resolved by deletion elsewhere. We therefore assume a stem glide.

There's nothing special to say about the other productive thematic suffix on the 1st conjugation, -ej-.

Table 7: surface forms	first conjugation,	regular (-ej-): bolet	'to read'
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		singular-M(F/N)	plural
present	1	bolEj-u	bolEj-em
-	2	bolEj-eš	bolEj-ete
	3	bolEj-et	bolEj-ut
past		bolE-l(e/o)	bolE-l-i
imperative	exclusive	bolEj	bolEj-te
gerund	present	bolEj-a	
	past	-bolE-v	
	active past	bolE-vš-aja	
	active present	bolEj-ušč-aja	
root		bol- (cf. <i>bol'</i> 'a pain')	

I (O) believe most if not all verbs of this class are intransitive and possibly unaccusative.

4. 2^{ND} CONJUGATION

 2^{nd} conjugation verbs have thematic suffixes $-\bar{e}$ - (ca. 60 verbs) and $-\bar{i}$ - (productive). The present tense suffix is $-\bar{i}$ -:

		singular-M(F/N)	plural
present	1	l'ubl'-U	l'ub-im
-	2	l'Ub-iš	l'ub-ite
	3	l'ub-it	l'ub'-at
past		l'ubI-l(a/o)	l'ubI-l-i
imperative	exclusive	lub'-I	l'ub-I-te
gerund	present	l'ub'-A	
participle	passive past	-l'ubl'-enn-aja	
	passive present	l'ubI-m-aja	
	active past	l'ubI-vš-aja	
	active present	l'∪b'-ašč-aja	
root	•	lub- (cf. <i>l'ubov</i> 'love')	

Table 8: surface forms, second conjugation, regular: *l'ubIt'* 'to love'

The derivation of surface forms is nearly transparent:

(3) a. l'ub-ī-ī-t \rightarrow_{VDel} l'ub-ī-t \rightarrow_{PAL} l'ub'-it b. l'ub-ī-l-a \rightarrow_{PAL} l'ub'ila

NB: We will not discuss the source of the 1sg and 3pl endings or the difference between the two conjugations in 3pl. Historically, both are derived from an underlying nasal.

1sg and passive past participle are unexpected – whence the transitive softening (Brown's *iotated grade*)?

Halle (1963), Lightner (1972): transitive softening is a mutation of the sequence Cj (see Brown, p. 204, for the list of mutations).

Then where does the glide come from?

 $\frac{V}{\sum_{x}} \rightarrow j/ - V_{x}$

4.1. Glide-formation

(iii)

Jakobson's observation that a vowel never surfaces before another vowel gives rise to another rule, when a short vowel is preceded by a long vowel (Halle 1963, Lightner 1972):⁴

LONG-VOWEL CLUSTER RESOLUTION

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(4) a. l'ub-ī-ī-u \rightarrow_{VDel} l'ub-ī-u \rightarrow_{LGV} l'ub-j-u \rightarrow_{Cj} l'ubl'u
b. l'ub-ī-ĕnn-aja \rightarrow_{LGV} l'ub-j-ĕnn-aja \rightarrow_{Ci} l'ubl'ennaja
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NB: Alternative proposal (Coats and Lightner 1975): the tense suffix of the 2^{nd} conjugation is \emptyset . Would not work for the passive past participle.

⁴ A necessary assumption for this is that the 1sg suffix -u is short here (while elsewhere it seems to behave as a long vowel, as is to be expected from its origin from a vowel-nasal sequence). We leave this problem unresolved here.

4.2. Vowel length

(Starting at least from) Halle (1963), Lightner (1972):

Table 9: Russian vowel system

		[-back]	[+ba	ıck]
		[-round]	[-round]	[+round]
short	[+hi]	[ĭ]	[¥]	
SHULL	[-hi]	[ĕ]		[ŏ]
long	[+hi]	[i]	[i]	[u]
	[-hi]	[e]	[a]	

Since on the surface Russian vowels are not distinguished by length, we need to postulate a low-level **neutralization rule**, which applies after all rules involving short vowels:



LENGTH NEUTRALIZATION

NB: Length effects can be seen mostly with the (productive) process of SECONDARY IMPERFECTIVE LENGTHENING.

5. 1st conjugation, transitive palatalization

We can now deal with the verbs that take the thematic suffix $-\bar{a}$ - and the set of effects it triggers.

		singular-M(F/N)	plural
present	1	piš-U	pIš-em
	2	pIš-eš	pIš-ete
	3	pIš-et	pIš-ut
past		pis-Al(a/o)	pis-Al-i
imperative	exclusive	piš-I	piš-I-te
gerund		piš-A	
participle	passive past	pIsa-nn-aja	
	passive present		
	active past	pisA-vš-aja	
	active present	pIš-ušč-aja	
root		pis- (cf. <i>pis'mO</i> 'letter')	

The past and infinitive forms are the same, but the present, gerund and imperative paradigm is different. The difference is predictable (what Brown calls *the iotated grade*). Garde (1998, p. 62): there are circa 60 verbs in this class.

Brown: a different stem allomorph, more or less transparently related to the primary one Explanatory power: zero

An alternative (Halle 1963, Lightner 1972): The thematic suffix is different ($-\bar{a}$ - instead of $-\bar{a}j$ -), but the rest is due to the already postulated **phonological rules**.

An excursus: derivation vs. stem indexing

A derivational theory predicts **non-trivial relations** between allomorphs:

- Allomorphs are related by phonological rules
- The choice of an allomorph depends on the environment
- **Exceptions are phonological** in nature: a phonological rule fails to apply or applies exceptionally

Stem-indexing assimilates allomorphy to suppletion.

With *pis-a-* 'write', an environment for the LONG-VOWEL CLUSTER RESOLUTION rule (iii) arises on the juncture of the thematic suffix and the tense one:

Table 10a: present, underlying forms, first conjugation, regular: *pisAt* 'to write'

		singular-M(F/N)	plural
present	1	pis-ā-ĕ-U	pIs-ā-ĕ-m ĭ
	2	pIs-ā-ĕ-š ĭ	pIs-ā-ĕ-te
	3	pIs-ā-ĕ-t ĭ	pIs-ā-ĕ-ut ĭ

The rule (iii) applies to the theme-tense sequence, yielding the sequence glide-vowel preceded by a consonant:

		singular-M(F/N)	plural
present	1	pisj-ĕ-U	pIsj-ĕ-m ĭ
	2	pIsj-ĕ-š ĭ	pīsj-ĕ-te
	3	pīsj-ē-t i	pIsj-ĕ-ut ĭ

Table 10b: present, intermediate forms, first conjugation, regular: *pisAt*' 'to write'

The sequence glide-vowel preceded by a consonant is the context for the transitive palatalization effect (the *iotated grade*):

Table 10c: present, intermediate forms, first conjugation, regular: *pisAt*' 'to write'

		singular-M(F/N)	plural
present	1	piš-ĕ-U	pIš-ĕ-m ĭ
	2	pIŠ-ĕ-Š Ĭ	pIš-ĕ-te
	3	pIš-ĕ-t ĭ	pIš-ĕ-ut ĭ

NB: Obviously, with such rules we cannot have -j-insertion between vowels.

Table 10d: present, final forms, first conjugation, regular: *pisAt*' 'to write'

		singular-M(F/N)	plural
present	1	piš-U	pIš-e-m
	2	pIš-e-š	pIš-e-te
	3	pIš-e-t	pIš-ut

Coats and Lightner (1975): The thematic suffix is $-a_j$, but it undergoes a minor rule of VOWEL-DROP (also used for the derivation of comparatives in -e (as opposed to the productive $-e_je$). To be brutally honest, this solution doesn't seem to be any more phonotactically motivated than the previous one.

6. 2ND CONJUGATION (CNTD.)

The thematic suffix -ē- is unproductive, but by no means infrequent (about 60 stems according to Garde 1998:371):

		singular-M(F/N)	plural
present	1	obIž-u	obId-im
	2	obīd-iš	obId-ite
	3	obId-it	obId'-at
past		obide-l(a/o)	obIde-l-i
imperative	exclusive	obId'	obId-i-te
gerund	past	ob'Id-e-v	
participle	passive past	ob'Iž-enn-aja	
	active past	ob'Ide-vš-aja	
root		obid- (cf. obida 'offense')	

Table 11: surface forms, second conjugation, regular: *obidet*' 'to offend'

The $-\bar{e}$ - verbs of the 2nd conjugation are subject to another phonological effect: after sibilants and [j] the thematic suffix changes to -a- (this is also how we know it is a long vowel), irrespective of where the stress is.

		singular-M(F/N)	plural
present	1	d i š-U	d ɪ š-im
	2	d ī š-iš	d ī š-ite
	3	d I š-it	d i š-at
past		dišA-l(a/o)	d i šA-l-i
imperative	exclusive	d i š-I	diš-I-te
gerund	present	diš-A	
	past	-d i š-Av	
participle	passive past		
	passive present		
	active past	d i šA-vš-aja	
	active present	d ı š-ašč-aja	
root		dix- (cf. dixanie 'breathing'	

Table 12: surface forms, second conjugation, theme -ē-: dišat' 'to breathe'

We need to assume that $[\bar{e}]$ changes to $[\bar{a}]$ after a (velar-derived) palatal.

Another excursus: morphologically conditioned phonological rules

The special effects we have discussed (-nu-deletion, \bar{e} -to- \bar{a} change) and those we have not (-ovato -uj- change, present tense lowering, dental and -l deletion in the past tense, etc.) are specific to the verbal domain. Does this mean they should be not regarded as phonological?

We would want to believe that a morphologically conditioned rule is still part of derivation, since it represents a generalization (as opposed to simple suppletion). In some cases, the fact that a rule only applies to verbs is accidental (the relevant environment doesn't arise elsewhere). In others, it is simply irrelevant. Which brings us to the question of **exceptions**.

7. EXCEPTIONS

Yes, some verbs do not undergo some of the rules. For example, a class of verbs doesn't undergo the LONG-VOWEL CLUSTER RESOLUTION rule (iii).

Garde (1998, p. 62): there are 15 verbs in this class. No apparent phonological generalization.

		singular-M(F/N)	plural
present	1	sos-U	sos'-Om
	2	sos'-Oš	sos'-Ote
	3	sos'-Ot	sos-Ut
past		sosAl-(a/o)	sosA-l-i
imperative	exclusive	sos'-I	sos'-I-te
gerund		sos'-A	
participle	passive past	, with a perfectivizing prefix	:: v I -sos-a-nn-aja
	passive present		
	active past	sosA-vš-aja	
	active present	sos-Ušč-aja	
root		sos- (cf. sos-ka 'pacifier')	

Table 13: surface forms, first conjugation, theme -a-: *sosAt*' 'to suck'

If the LONG-VOWEL CLUSTER RESOLUTION rule (iii) fails to apply to this class, the thematic suffix -a- is removed by the SHORT-VOWEL CLUSTER RESOLUTION rule (i) (which would need to follow (iii) and to be modified to become more general).

Coats and Lightner (1975): this class has one thematic suffix in the infinitive, finite past and past participle and another elsewhere. In the 2^{nd} conjugation, there are two verbs that show the same behavior in that they have -a- in the infinitive, finite past and past participle forms, but follow the 2^{nd} conjugation pattern otherwise (*gnat*' 'to chase' and *spat*' 'to sleep').

The fact that there are many exceptions to a phonological rule does not undermine the reality of the rule, even if there are only a few cases where the rule applies (lots of exceptions). The claim implicit in the alternative is that these exceptions could exhibit any phonology whatever, whereas in the story proposed here exceptions to a rule are just that; i.e., instances where only the rule in question does not apply.

8. CONCLUSION

It would seem that phonology can take care of Russian conjugation, for the most part. We still need lists of stems that some rules do not apply to and stems that some rules solely apply to, but we appear to be a lot more parsimonious about it and, moreover, make predictions about what our exceptions look like.

9. APPENDICES: SOME SPECIAL CASES

-va- deletion: occurs with every -va- that is not part of the imperfective suffix (Jakobson). -wcan be part of the stem: dav-a-t'/da-j-u 'give-Inf/1sg', or part of another suffix (e.g. skan-ir-ov-at'/ skan-ir-uj-u 'scan-Inf/1sg'). If the vowel preceding the suffix is [0], it becomes [u], if it is [a], it remains unchanged (for discussion see Garde 1998:358, see also p. 359 for the 7 verbs with the -uj-/-ova- sequence in the stem and subject to the same effect)

Ineffability: 1sg can be ineffable in the 2nd conjugation class for certain verbs with a stem ending in [d], where transitive palatalization should have occurred. Vinogradov (1952:561) cites the verbs *bdit*' 'to be awake, aware', *galdet*' 'make a din', *smerdet*' 'to stink', *pobedit*' 'to win', and *ubedit*' 'to convince'.

10. A MORE GENERAL CASE: YERS

Yers are [+ high] short vowels, which normally surface only when followed by a yer in the next syllable. Lightner (1972), Pesetsky (1979):

(v)	Х	Х	YER LOWERING
	l I	l I	
	V	$[_{\sigma}V$	
	+		
	[hi]	[hi]	
(vi)	Х		YER DELETION
	+		
	V		
	l l		
	[hi]		

In the verbal domain, there are two morphological environments where yers are unexpectedly present: **present tense** of certain verbs (lowering) and secondary imperfective (lengthening). We will only discuss the former here.

10.1. Yer-lowering, consonant deletion

Many Russian stems show alternations $\emptyset/[e]$ and $\emptyset/[o]$. In the verbal domain, one example is in the verbal stem /šĭd/ 'go-Past':

(5)	a.	šol 'went-Msg'	(6) a.	v I -šel 'came out-Msg'
	b.	šlA 'went-Fsg'	b.	v I -šla 'came out-Fsg'

Two things happen here at once: yer-lowering and dental-deletion.

The reason why $|\check{e}|$ ([o] under stress, [e] in unstressed syllables) appears in the masculine forms is that the front yer $|\check{i}|$ of the stem surfaces before the yer in the masculine singular past tense ending $|-\check{i}|$. Since the feminine singular past tense ending is -a, the stem yer is not lowered and is therefore deleted.

The final consonant of the stem disappears due to a separate rule deleting /t/, /d/ before /l/ (other examples are the stems /vĕd/ 'to lead' and /mĕt/ 'to sweep'). This rule precedes yer-deletion.

(7) a. $\check{s}\check{i}d$ -l- $\check{t} \rightarrow_{YL} \check{s}ed$ -l- $\check{t} \rightarrow_{T,D} \check{s}e$ -l- $\check{t} \rightarrow_{YD} \check{s}e$ -l $\rightarrow_{\check{E}} \check{s}Ol$ b. $\check{s}\check{i}d$ -l- $a \rightarrow_{YL} \check{s}\check{i}d$ -l- $a \rightarrow_{T,D} \check{s}\check{i}$ -l- $a \rightarrow_{YD} \check{s}$ -l-a

A consonant cluster created by the past tense suffix can also be resolved by deleting this suffix itself!

Here what matters is syllabicity:

(8) a. něs-l-i 'carried-Msg' \rightarrow n'Os b. něs-l-a 'carried-Fsg' \rightarrow n'eslA

This process doesn't happen with nouns (*žezl* 'a scepter') or adjectives (*krugl* 'round-SF-Msg').

Both deletion processes are completely across-the-board – in the verbal domain.

10.2. Present tense lowering

Some Russian verbs appear to undergo an ablaut in their present tense forms:

Table 14: surface forms, first conjugation, irregular: *brit*' 'to shave'

		singular-M(F/N)	plural	
present	1	brEj-u	brEj-em	
	2	brEj-eš	brEj-ete	
	3	brEj-et	brEj-ut	
past		brI-l(a/o)	brI-l-i	
imperative	exclusive	brEj		
gerund	present	brEj-a		
	past	-brI-v		
participle	passive past	bri-t-aja		
	passive present	brEj-em-aja		
	active past	brI-vš-aja		
	active present	brEj-ušč-aja		
verbal stem		brij- (cf. <i>bradobrej</i> 'barber, <i>lit.</i> , beard-shaver')		

What is going on?

(1) **Present tense lowering**: the stem vowel is lowered (see Table 9). This affects stem yers – they surface where not expected.

[+back]

[-back]		
	[-back]	

Table 9: Russian vowel system

		[-round]	[-round]	[+round]
short	[+hi]	[ĭ]	[¥]	
SHOLU	[-hi]	[ĕ]		[ŏ]
long	[+hi]	[i]	[<u>+</u>]	[u]
	[-hi]	[e]	[a]	

NB: The only vowel that cannot be affected is [u].

Result: In the present tense, the stem becomes brej-.

(2) In the past finite, gerund and participial forms, as well as in the infinitive, etc., the final [j] is deleted due to the GLIDE DELETION rule (ii).

In the same class are the verbs *brat*' 'to take' (stem /bĭr-/), *zvat*' 'to know' (stem /z¥v-/) and *drat*' 'to tear apart' (stem /*dĭr*-/). With these verbs, the yer of the stem surfaces (/ĭ/ \rightarrow [ĕ], /¥/ \rightarrow [ŏ]) in the present finite and infinitival forms, as well as with the imperative.

NB: The present finite and infinitival forms, as well as the imperative are **the forms where the suffix begins with a vowel**. This cannot be accidental.

We do not expect to find -ij- alternating with -ŏj- (should have been -aj-): vit' 'to howl', mit' 'to wash', nit' 'to complain', rit' 'to dig', krit' 'to cover', or -ej- alternating with -ŏj- (predictions unclear): pet' 'to sing'.

		singular-M(F/N)	plural
present	1	gon'-U	gOn'-im
	2	gOn'-iš	gon'-ite
	3	gon'-it	gon'at
past		gnA-l(a/o)	gnA-l-i
imperative	exclusive	gon'-I	
gerund	present	gon'-A	
	past	-gnA-v	
participle	passive past	-gna-nn-aja	
	passive present	gon'-Im-aja	
	active past	gnA-vš-aja	
	active present	gOn-ušč-aja	
verbal stem		g ĭ n-	

	Table 15: surface forms, second	conjugation, irregular: gnat' 'to chase'
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Unexpectedly, the verb is 2^{nd} conjugation, despite the thematic suffix -*a*- in the infinitive.

11. A LESS GENERAL CASE: VN CLUSTER

Some of the contemporary -a- and -u- originated as vowel-nasal sequences before a consonant (*m'ata* 'mint', *p'at'* 'five', etc.). There are two morphological environments where the process appears to be synchronically active: Nom.sg of 10 3rd declension neuter nouns (see Halle 1994) and stem alternations of maybe 6 verbs.

NB: This is also the process that derived the active past participle suffix (cf. Latin -*V*-*ns*) and the 1sg and 3pl endings (cf. Latin -*V*-*m* and -*V*-*nt*, respectively). The original 1sg ending -m is still attested in the two verbs that have zero present tense marking: *ed*- 'eat' (1sg *em*, infinitive *est'*) and *dad*- (1sg *dam*, infinitive *dat'*).

		singular-M(F/N)	plural	
present	1	mn-U	mn'-Om	
	2	mn'-Oš	mn'-Ote	
	3	mn'-Ot	mn-Ut	
past		m'Al-(a/o)	m'A-l-i	
imperative	exclusive	mn-I	mn-I-te	
gerund		m'A-v		
participle	passive past			
	passive present			
	active past	m'A-vš-aja		
	active present	mn-Ušč-aja		
root		min- (cf. <i>razminat</i> ' 'to spread out by pressure')		

Table 16: surface forms, first conjugation, vowel-nasal roots: *m'At'* 'to rumple'

That the root is *min*- can be ascertained from **yer-lowering** in the prefix in 1sg of the perfective form *razomnu* and the **secondary imperfective** *razminat'*, where the stem vowel is lengthened. Before a consonant, the VN sequence is converted into a vowel:

(vii)
$$V_{[-back]} C_{[nasal]} \rightarrow a$$

 $V_{[+back]} C_{[nasal]} \rightarrow u$

VN-RESOLUTION

Other verbs that undergo this process are: *pĭn*- 'kick', *žĭm*- 'press', *žĭn*- 'harvest', *čin*- 'start' and their derivatives, as well as the synchronically unanalyzable derivatives of the archaic verb *jĭm*- 'have' (with some further variations).

There also are 4 nasal-ending stems that are not subject to (vii): $d\bar{e}n$ - 'get rid', $st\bar{a}n$ - 'become', za- $str'\bar{a}n$ - 'get stuck' and $kl'\bar{a}n$ - 'curse' – perhaps because (vii) applies only to a short vowelnasal sequence. Or they may be -nu-verbs that lose -nu- in the infinitive obligatorily, as opposed to the two verbs that do so optionally: dostIgnut' vs. $dostI\bar{c}$ ' 'to achieve' and $st\bar{t}nut$ ' vs. $st\bar{t}t$ ' 'to be cold' (Garde 1998:369).

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